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#4 PATENT MP8

Case Docket No. ANCILE.037A

Date: June 13, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Andrews et al.  
App. No. : 10/029,109  
Filed : October 19, 2001  
For : COMPOSITION FOR  
IMPROVING SLEEP  
QUALITY AND EFFICIENCY,  
AND METHODS OF  
PREPARING AND USING  
THE COMPOSITION  
Group Art Unit : 1614

I hereby certify that this correspondence and all marked  
attachments are being deposited with the United States  
Postal Service as first class mail in an envelope addressed  
to United States Patent and Trademark Office, P.O. Box  
2327, Arlington, VA 22202, on

June 13, 2002

(Date)

Joseph M. Reisman, Reg. No. 43,878

TRANSMITTAL LETTER

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f),  
which was mailed by the Office on March 18, 2002, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) An extension of time to respond for one month(s) is hereby requested.

Time Extension Fee: (X) one month (\$55 small entity)

- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) A Notice to File Missing Parts.

06/24/2002 EAREGAY1 00000006 111410 10029109

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**PATENT**

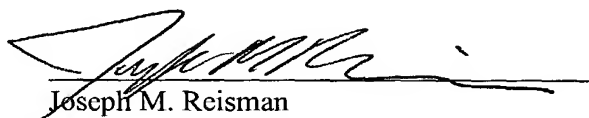
Case Docket No. ANCILE.037A

Date: June 13, 2002

- (X) A substitute Specification in which the margins are corrected, as requested in the Notice of Missing Parts mailed March 18, 2007. Consistent with 37 C.F.R. § 1.125(b)(1), the substitute written description portion of the specification contains no new matter. Because the only changes made were to the margins, no marked up version is enclosed.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

| <b>CLAIMS AS FILED</b>  |                         |                         |             |            |
|---|-------------------------|-------------------------|-------------|------------|
| <b>FOR</b>  | <b>NUMBER<br/>FILED</b> | <b>NUMBER<br/>EXTRA</b> | <b>RATE</b> | <b>FEE</b> |
| Basic Fee   |                         |                         | \$370       | \$370      |
| Total Claims  | 38- 20 =                | 18 ×                    | \$9         | \$162      |
| Independent Claims  | 11 - 3 =                | 8 ×                     | \$42        | \$336      |
| Fee for extension of time (large entity)  |                         | One month               | \$110       | \$110      |
| Surcharge 37 CFR 1.16(e)  |                         |                         | \$130       | \$130      |
| Total of Above Calculations   |                         |                         |             | \$1108     |
| Reduction by ½ for filing by small entity.<br>Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached |                         |                         |             | \$ - ½     |
| Total Fees Submitted Herewith   |                         |                         |             | \$554      |

- (X) A check in the amount of \$554 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

  
 Joseph M. Reisman  
 Registration No. 43,878  
 Attorney of Record



## UNITED STATES PATENT AND TRADEMARK OFFICE



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#3

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/029,109         | 10/19/2001          | Michael J. Andrews    | ANCILE.037A            |

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

CONFIRMATION NO. 2892  
FORMALITIES LETTER



\*OC00000007658949\*

Date Mailed: 03/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$498
  - \$162 for 18 total claims over 20.
  - \$336 for 8 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 933.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

06/24/2002 EAREGAY1 00000006 111410 10029109

|           |           |           |
|-----------|-----------|-----------|
| 02 FC:201 |           | 370.00 OP |
| 03 FC:205 |           | 65.00 OP  |
| 04 FC:202 | 272.00 CH | 64.00 OP  |
| 05 FC:203 | 162.00 CH |           |

PART 2 - COPY TO BE RETURNED WITH RESPONSE